

# Curvature functionals and p-Willmore energy

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May 9, 2019

Anthony Gruber: PhD candidate, 8/2015-8/2019.

*Research interests:* Differential geometry, computational geometry, geometric PDE, mathematical physics.

## Source material

- A. Gruber, M. Toda, H. Tran, “On the variation of curvature functionals in a space form with application to a generalized Willmore energy”, *Annals of Global Analysis and Geometry* (to appear).
- A. Gruber, “Curvature functionals and p-Willmore energy” *PhD thesis*, defending 5/9/2019.
- E. Aulisa, A. Gruber, “Finite element models for the p-Willmore flow of surfaces” (in preparation).

# Outline

- 1 Introduction and Motivation
- 2 Variation of curvature functionals
- 3 The p-Willmore energy
- 4 The p-Willmore flow

**Acknowledgements:** (2) and (3) joint with M. Toda and H. Tran. (4) joint with E. Aulisa.

# The Willmore energy

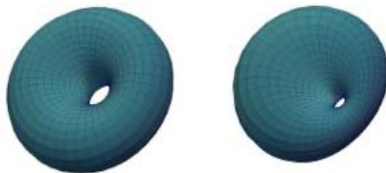
Let  $\mathbf{R} : M \rightarrow \mathbb{R}^3$  be a smooth immersion of the closed surface  $M$ . Then, the Willmore energy is defined as

$$\mathcal{W}(M) = \int_M H^2 dS,$$

where  $H = (1/2)(\kappa_1 + \kappa_2)$  is the mean curvature of the surface.

Facts:

- Critical points of  $\mathcal{W}(M)$  are called Willmore surfaces, and arise as natural generalizations of minimal surfaces.
- $\mathcal{W}(M)$  is invariant under reparametrizations, and less obviously under *conformal transformations of the ambient metric* (Möbius transformations of  $\mathbb{R}^3$ )



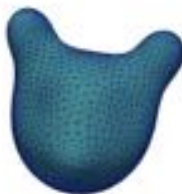
# The Willmore energy (2)

From an aesthetic perspective, the Willmore energy produces surface fairing (i.e. smoothing). How to see this?

$$\frac{1}{4} \int_M (\kappa_1 - \kappa_2)^2 dS = \int_M (H^2 - K) dS = \mathcal{W}(M) - 2\pi\chi(M),$$

by the Gauss-Bonnet theorem.

**Conclusion:** The Willmore energy punishes surfaces for being non-umbilic!



# Examples of Willmore-type energies

The Willmore energy arises frequently in mathematical biology, physics and computer vision – sometimes under different names.

- Helfrich-Canham energy,

$$E_H(M) := \int_M k_c(2H + c_0)^2 + \bar{k}K \, dS,$$

- Bulk free energy density,

$$\sigma_F(M) = \int_M 2k(2H^2 - K) \, dS,$$

- Surface torsion,

$$S(M) = \int_M 4(H^2 - K) \, dS$$

When  $M$  is closed, all share critical surfaces with  $\mathcal{W}(M)$ !

# General bending energy

More generally, these energies are all special cases of a model for bending energy proposed by Sophie Germain in 1820,

$$\mathcal{B}(M) = \int_M S(\kappa_1, \kappa_2) dS,$$

where  $S$  is a symmetric polynomial in the principal curvatures  $\kappa_1, \kappa_2$ .

By Newton's theorem, this is equivalent to the functional

$$\mathcal{F}(M) = \int_M \mathcal{E}(H, K) dS,$$

where  $\mathcal{E}$  is smooth in  $H = \frac{1}{2}(\kappa_1 + \kappa_2)$ ,  $K = \kappa_1 \kappa_2$ .

**Conclusion:** Studying  $\mathcal{F}(M)$  is natural from the point of view of bending energy, and reveals similarities between examples of scientific relevance.

# Our general objective

We study the functional  $\mathcal{F}(M)$  on surfaces  $M \subset \mathbb{M}^3(k_0)$  which are immersed in a 3-D *space form* of constant sectional curvature  $k_0$ .

Why leave Euclidean space?

- It's mathematically relevant (e.g. conformal geometry in  $S^3$ , geometry in the quaternions  $\mathbb{H}$ ).
- Physicists care about immersions in “Minkowski space”  
 $H^3 \cong \{q \in \mathbb{H} \mid qq^* = 1\}$ , which has constant sectional curvature  $-1$ .
- The notion of bending energy differs depending on the ambient space!  
For example,  $(\kappa_1 - \kappa_2)^2 = 4(H^2 - K + k_0)$ .

Particularly reasonable to study the *variations* of  $\mathcal{F}(M)$ , as they provide important geometric information about minimizing surfaces.



# Framework for computing variations

Consider a variation of the surface  $M$ , i.e. a 1-parameter family of compactly supported immersions  $\mathbf{r}(\mathbf{x}, t)$  as in the following diagram,

$$\begin{array}{ccc} & & F_O(\mathbb{M}^3(k_0)) \\ & \nearrow \tilde{\mathbf{r}} & \downarrow \pi \\ M \times (-\varepsilon, \varepsilon) & \xrightarrow{\mathbf{r}} & \mathbb{M}^3(k_0) \end{array}$$

Choosing a local section  $\{\mathbf{e}_J\}$  of  $F_O(\mathbb{M}^3(k_0))$  and a dual basis  $\{\omega^I\}$  such that  $\omega^I(\mathbf{e}_J) = \delta^I_J$ , it follows that:

- Metric on  $\mathbb{M}^3(k_0)$ :  $g = (\omega^1)^2 + (\omega^2)^2 + (\omega^3)^2$ .
- Connection on  $\mathbb{M}^3(k_0)$ :  $\nabla \mathbf{e}_I = \mathbf{e}_J \otimes \omega^J_I$ .
- Volume form on  $\mathbb{M}^3(k_0) = \omega^1 \wedge \omega^2 \wedge \omega^3$ .

Connection is Levi-Civita (torsion-free) when  $\omega^I_J = -\omega^J_I$ .

## Framework for computing variations (2)

The Cartan structure equations on  $\mathbb{M}^3(k_0)$  are then

$$\begin{aligned}d\omega^I &= -\omega_J^I \wedge \omega^J, \\d\omega_J^I &= -\omega_K^I \wedge \omega_J^K + \frac{1}{2}R_{JKL}^I \omega^K \wedge \omega^L.\end{aligned}$$

We may assume the normal velocity of  $\mathbf{r}$  satisfies

$$\frac{\partial \mathbf{r}}{\partial t} = u \mathbf{N},$$

for some smooth  $u : M \times \mathbb{R} \rightarrow \mathbb{R}$ . Pulling back the frame to  $M \times \mathbb{R}$ , we may further assume  $\mathbf{e}_3 := \mathbf{N}$  is normal to  $M \times \{t\}$  for each  $t$ , in which case

$$\begin{aligned}\bar{\omega}^i &= \omega^i \quad (i = 1, 2), \\ \bar{\omega}^3 &= u dt.\end{aligned}$$

# General first variation

Using this, it is possible to compute the following necessary condition for criticality with respect to  $\mathcal{F}$ .

**Theorem:** G., Toda, Tran

The first variation of the curvature functional  $\mathcal{F}$  is given by

$$\begin{aligned} \delta \int_M \mathcal{E}(H, K) dS \\ = \int_M \left( \frac{1}{2} \mathcal{E}_H + 2H \mathcal{E}_K \right) \Delta u + \left( (2H^2 - K + 2k_0) \mathcal{E}_H + 2HK \mathcal{E}_K - 2H \mathcal{E} \right) u \\ - \mathcal{E}_K \langle h, \text{Hess } u \rangle dS, \end{aligned}$$

where  $\mathcal{E}_H, \mathcal{E}_K$  denote the partial derivatives of  $\mathcal{E}$  with respect to  $H$  resp.  $K$ , and  $h$  is the shape operator of  $M$  ( $\text{II} = h \mathbf{N}$ ).

# General second variation

Theorem: G., Toda, Tran

At a critical immersion of  $M$ , the second variation of  $\mathcal{F}$  is given by

$$\begin{aligned}
 \delta^2 \int_M \mathcal{E}(H, K) dS &= \int_M \left( \frac{1}{4} \mathcal{E}_{HH} + 2H \mathcal{E}_{HK} + 4H^2 \mathcal{E}_{KK} + \mathcal{E}_K \right) (\Delta u)^2 dS \\
 &+ \int_M \mathcal{E}_{KK} \langle h, \text{Hess } u \rangle^2 dS - \int_M (\mathcal{E}_{HK} + 4H \mathcal{E}_{KK}) \Delta u \langle h, \text{Hess } u \rangle dS \\
 &+ \int_M \mathcal{E}_K \left( u \langle \nabla K, \nabla u \rangle - 3u \langle h^2, \text{Hess } u \rangle - 2h^2 \langle \nabla u, \nabla u \rangle - |\text{Hess } u|^2 \right) dS \\
 &+ \int_M \left( (2H^2 - K + 2k_0) \mathcal{E}_{HH} + 2H(4H^2 - K + 4k_0) \mathcal{E}_{HK} + 8H^2 K \mathcal{E}_{KK} \right. \\
 &\quad \left. - 2H \mathcal{E}_H + (3k_0 - K) \mathcal{E}_K - \mathcal{E} \right) u \Delta u dS \\
 &+ \int_M \left( (2H^2 - K + 2k_0)^2 \mathcal{E}_{HH} + 4HK(2H^2 - K + 2k_0) \mathcal{E}_{HK} + 4H^2 K^2 \mathcal{E}_{KK} \right. \\
 &\quad \left. - 2K(K - 2k_0) \mathcal{E}_K - 2HK \mathcal{E}_H + 2(K - 2k_0) \mathcal{E} \right) u^2 dS \\
 &+ \int_M (2\mathcal{E}_H + 6H \mathcal{E}_K - 2(2H^2 - K + 2k_0) \mathcal{E}_{HK} - 4HK \mathcal{E}_{KK}) u \langle h, \text{Hess } u \rangle dS \\
 &+ \int_M (\mathcal{E}_H + 4H \mathcal{E}_K) h \langle \nabla u, \nabla u \rangle dS + \int_M \mathcal{E}_H u \langle \nabla H, \nabla u \rangle dS \\
 &- \int_M (2(K - k_0) \mathcal{E}_K + H \mathcal{E}_H) |\nabla u|^2 dS,
 \end{aligned}$$

where the subscripts  $\mathcal{E}_{HH}, \mathcal{E}_{HK}, \mathcal{E}_{KK}$  denote the second partial derivatives of  $\mathcal{E}$  in the appropriate variables.

# Advantages of these variational results

- Valid in any space form of constant sectional curvature  $k_0$ , not only  $\mathbb{R}^3$ .
- Quantities involved are as elementary as possible – directly computable from surface fundamental forms for a given  $u$ .
- Very useful for studying specific functionals.

Note that these expressions immediately yield the known variation of the Willmore functional,

$$\delta \int_M H^2 dS = \int_M \left( H \Delta u + 2H(H^2 - K + 2k_0)u \right) dS.$$

It follows that closed Willmore surfaces in  $\mathbb{M}^3(k_0)$  are characterized by the equation

$$\Delta H + 2H(H^2 - K + 2k_0) = 0.$$

# The p-Willmore energy

Another interesting curvature functional that our results can be applied to is the *p-Willmore energy*,

$$\mathcal{W}^p(M) = \int_M H^p dS, \quad p \in \mathbb{Z}_{\geq 0}.$$

Notice that the Willmore energy is recovered as  $\mathcal{W}^2$ .

Why generalize Willmore?

- Conformal invariance is beautiful but very un-physical: unnatural for bending energy.
- $\mathcal{W}^0$ ,  $\mathcal{W}^1$ , and  $\mathcal{W}^2$  are quite different. Are other  $\mathcal{W}^p$  different?

We will see that the p-Willmore energy is highly connected to minimal surface theory when  $p > 2$ !!

# Variations of p-Willmore energy

Corollary: G., Toda, Tran

The first variation of  $\mathcal{W}^p$  is given by

$$\delta \int_M H^p dS = \int_M \left[ \frac{p}{2} H^{p-1} \Delta u + (2H^2 - K + 2k_0) p H^{p-1} u - 2H^{p+1} u \right] dS,$$

Moreover, the second variation of  $\mathcal{W}^p$  at a critical immersion is given by

$$\begin{aligned} \delta^2 \int_M H^p dS &= \int_M \frac{p(p-1)}{4} H^{p-2} (\Delta u)^2 dS \\ &+ \int_M p H^{p-1} (h(\nabla u, \nabla u) + 2u \langle h, \text{Hess } u \rangle + u \langle \nabla H, \nabla u \rangle - H |\nabla u|^2) dS \\ &+ \int_M \left( (2p^2 - 4p - 1) H^p - p(p-1) K H^{p-2} + 2p(p-1) k_0 H^{p-2} \right) u \Delta u dS \\ &+ \int_M \left( 4p(p-1) H^{p+2} - 2(p-1)(2p+1) K H^p + p(p-1) K^2 H^{p-2} \right. \\ &\quad \left. + 4(2p^2 - 2p - 1) k_0 H^p - 4p(p-1) k_0 K H^{p-2} + 4p(p-1) k_0^2 H^{p-2} \right) u^2 dS. \end{aligned}$$

# Connection to minimal surfaces

In light of these variational results, define a *p-Willmore surface* to be any  $M$  satisfying the Euler-Lagrange equation,

$$\frac{p}{2}\Delta H^{p-1} - p(2H^2 - K + 2k_0)H^{p-1} + 2H^{p+1} = 0 \quad \text{on } M.$$

Using integral estimates inspired by Bergner and Jakob [1], it is possible to show the following:

**Theorem:** G., Toda, Tran

When  $p > 2$ , any  $p$ -Willmore surface  $M \subset \mathbb{R}^3$  satisfying  $H = 0$  on  $\partial M$  is minimal.

More precisely, let  $p > 2$  and  $\mathbf{R} : M \rightarrow \mathbb{R}^3$  be an immersion of the  $p$ -Willmore surface  $M$  with boundary  $\partial M$ . If  $H = 0$  on  $\partial M$ , then  $H \equiv 0$  everywhere on  $M$ .



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**Conclusion:**  $(p > 2)$ -Willmore surface with  $H = 0$  on  $\partial M \iff$  minimal surface!

# Consequences

This result has a number of interesting consequences. First,

- **Not** true for  $p = 2$ : many solutions (non-minimal catenoids, etc.) to Willmore equation with  $H = 0$  on boundary.

Further, we see immediately:

**Corollary:** G., Toda, Tran

There are no closed  $p$ -Willmore surfaces immersed in  $\mathbb{R}^3$  when  $p > 2$ .

*Proof.* There are no closed minimal surfaces in  $\mathbb{R}^3$ .

In particular,

- The round sphere, Clifford torus, etc. are no longer minimizing in general for  $\mathcal{W}^p$ .
- Minimization problem must be modified if there are to be closed solutions for all  $p$ .

# Volume-constrained $p$ -Willmore

Since  $\mathcal{W}^p$  is physically motivated as a bending energy model, it is reasonable to consider its minimization subject to geometric constraints.

Let  $M = \partial D$  and recall the volume functional

$$\mathcal{V} = \int_D dV = \int_{M \times [0,t]} \mathbf{R}^*(dV),$$

with first variation

$$\delta \mathcal{V} = \int_M u \, dS.$$

So, (by a Lagrange multiplier argument)  $M$  is a *volume-constrained  $p$ -Willmore surface* provided there is a constant  $C$  such that

$$\frac{p}{2} \Delta H^{p-1} - p(2H^2 - K + 2k_0)H^{p-1} + 2H^{p+1} = C.$$

# Volume-constrained p-Willmore (2)

Why consider a volume constraint?

- Mimics the behavior of a lipid membrane in a solution with varying concentrations of solute.
- Acts as a “substitute” for conformal invariance; naturally limits the space of allowable surfaces.
- Allows for certain closed surfaces to be at least “almost stable”.

Note the following result for spheres.

**Theorem:** G., Toda, Tran

The round sphere  $S^2(r)$  immersed in Euclidean space is **not** a stable local minimum of  $\mathcal{W}^p$  under general volume-preserving deformations for each  $p > 2$ . More precisely, the bilinear index form is negative definite on the eigenspace of the Laplacian associated to the first eigenvalue, and it is positive definite on the orthogonal complement subspace.

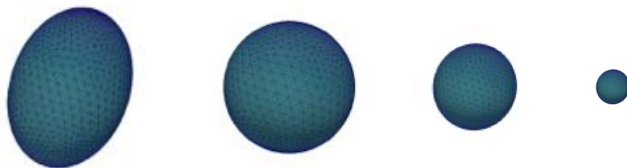
# The p-Willmore flow

Let  $V \subset \mathbb{R}^2$  and  $X : V \times (-\varepsilon, \varepsilon) \rightarrow \mathbb{R}^3$  be a 1-parameter family of surface parametrizations, and let  $\dot{X} = dX/dt$ . To further investigate the p-Willmore energy, we now develop computational models for the *p-Willmore flow* of surfaces immersed in  $\mathbb{R}^3$ ,

$$\dot{X} = -\delta \mathcal{W}^p(X).$$

We will consider two cases:

- 1  $M$  is the graph of a smooth function  $u : \mathbb{R}^2 \rightarrow \mathbb{R}$ .
- 2  $M$  is an abstract closed surface with identity map  $u : M \rightarrow \mathbb{R}^3$ .



# Graphical model

First, we consider the case where  $M$  is given as the graph of a smooth function. Let:

- $M = \{(\mathbf{x}, u(\mathbf{x})) \mid \mathbf{x} \in \Omega\}$ .
- $I$  denote identity on  $\mathbb{R}^3$ .
- $A := \sqrt{\det g}$  denote the induced area element on  $M$ .
- $\mathbf{N} = (1/A)(\nabla u, -1)$  denote the “downward” unit normal on  $M$ .

It follows that the geometry on  $M$  can be expressed as,

$$\begin{aligned} g_{ij} &= \delta_{ij} + u_i u_j, & A &= \sqrt{1 + |\nabla u|^2}, & g^{ij} &= \delta^{ij} - \frac{u^i u^j}{A^2}, \\ \Delta_M &= \frac{1}{A} \nabla \cdot \left( A \left( I - \frac{\nabla u \otimes \nabla u}{A^2} \right) \nabla \right), & h_{ij} &= \frac{u_{ij}}{A}, \\ 2H &= \nabla \cdot \left( \frac{\nabla u}{A} \right), & K &= \frac{\det \nabla^2 u}{A^4}. \end{aligned}$$

## Graphical model (2)

The following is inspired by Deckelnick and Dziuk [2].

### Problem: Graphical p-Willmore flow

Let  $W := AH^{p-1}$ . Given a surface  $M$  which is the graph of a smooth function  $u$ , find a family of surfaces  $M(t) = \{(\mathbf{x}, u(\mathbf{x}, t)) \mid \mathbf{x} \in \Omega\}$  such that  $M(0)$  is the graph of  $u(\mathbf{x}, 0)$  and the p-Willmore flow equation

$$u_t + \frac{p}{2} A \nabla \cdot \left( \frac{1}{A} \left( I - \frac{\nabla u \otimes \nabla u}{A^2} \right) \nabla W \right) - A \nabla \cdot \left( W H \frac{\nabla u}{A^2} \right) = 0,$$

is satisfied for all  $t \in [0, T]$ . Alternatively, in weak form: find functions  $u(\mathbf{x}, t)$  such that  $M(t)$  is the graph of  $u(\mathbf{x}, t)$ , and the system of equations

$$\begin{aligned} \int_{\Omega} \frac{u_t}{A} \varphi - \left( \frac{p}{2A} \left( I - \frac{\nabla u \otimes \nabla u}{A^2} \right) \nabla W + \frac{WH}{A^2} \nabla u \right) \cdot \nabla \varphi &= 0, \\ \int_{\Omega} 2H\psi + \left( \frac{\nabla u}{A} \right) \cdot \nabla \psi &= 0, \\ \int_{\Omega} W\xi - AH^{p-1}\xi &= 0. \end{aligned}$$

is satisfied for all  $t \in [0, T]$  and all  $\varphi, \psi, \xi \in H^2$ .

# Properties of the p-Willmore flow: energy decrease

Theorem: Aulisa, G.

The graphical  $p$ -Willmore flow is energy-decreasing.

That is, given a family of surfaces  $\{M(t)\}$  such that  $M(t) = \{(\mathbf{x}, u(\mathbf{x}, t)) \mid \mathbf{x} \in \Omega\}$  and  $u_t$  obeys the  $p$ -Willmore flow equation

$$u_t + \frac{p}{2} A \nabla \cdot \left( \frac{1}{A} \left( I - \frac{\nabla u \otimes \nabla u}{A^2} \right) \nabla W \right) - A \nabla \cdot \left( W H \frac{\nabla u}{A^2} \right) = 0,$$

with Dirichlet boundary conditions on  $u$  and  $H$ , the  $p$ -Willmore energy satisfies

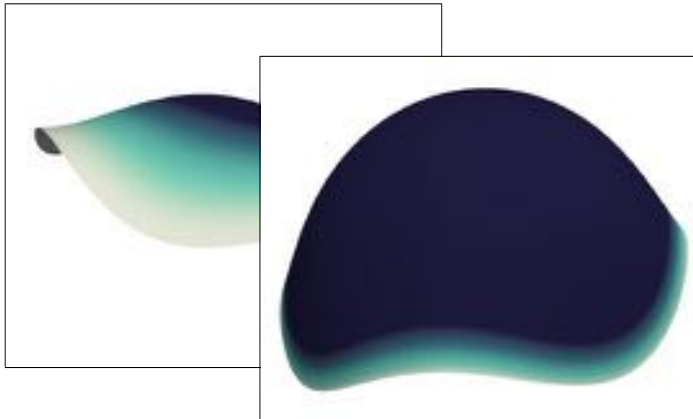
$$\int_{M(t)} \left( \frac{-u_t}{A} \right)^2 + \frac{d}{dt} \int_{M(t)} H^p = 0. \quad (1)$$

- This is GOOD when  $p$  is even, since energy is bounded from below.
- When  $p$  is odd, stability is highly dependent on initial energy configuration.



## Results: graphical p-Willmore flow

3-Willmore evolution of a graphical surface. Initial energy positive (left) and negative (right). Note that a minimal surface is approached, as suggested by our prior results.



# The closed surface case

The framework for the closed surface flow is due to Dziuk and Elliott [3]. Consider a parametrization  $X_0 : V \subset \mathbb{R}^2 \rightarrow \mathbb{R}^3$  of (a portion of) the surface  $M$ , and let  $u_0 : M \rightarrow \mathbb{R}^3$  be identity on  $M$ , so  $u \circ X = X$ .

A variation of  $M$  is a smooth function  $\varphi : M \rightarrow \mathbb{R}^3$  and a 1-parameter family  $u(x, t) : M \times (-\varepsilon, \varepsilon) \rightarrow \mathbb{R}^3$  such that  $u(x, 0) = u_0$  and

$$u(x, t) = u_0(x) + t\varphi(x).$$

Note that this pulls back to a variation  $X : V \times (-\varepsilon, \varepsilon) \rightarrow \mathbb{R}^3$ ,

$$X(v, t) = X_0(v) + t\Phi(v),$$

where  $\Phi = \varphi \circ X$ . Note further that (since  $u$  is identity on  $X(t)$ ) the time derivatives are related by

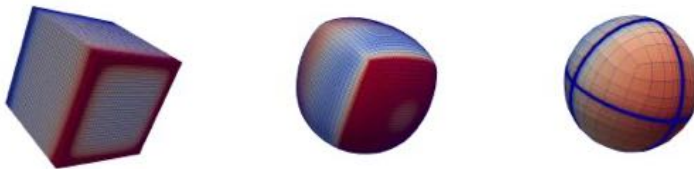
$$\dot{u} = \frac{d}{dt}u(X, t) = \nabla u \cdot \dot{X} + u_t = \dot{X}.$$

# Computational challenges of closed surface flows

There are notable differences here from the purely theoretical setting:

- Cannot choose a preferential frame in which to calculate derivatives; no natural adaptation (e.g. moving frame) is possible.
- Must consider general variations  $\varphi$ , which may have tangential as well as normal components.
- Must avoid geometric terms that are not easily discretized, such as  $K$  and  $\nabla_M N$ .

Can have very irritating *mesh sliding*:



Later, we will see a fix for this!

# Calculating the first variation

Our goal is now to find a weak-form expression for the p-Willmore flow equation,

$$\dot{u} = -\delta \mathcal{W}^p.$$

First, note that the components of the induced metric on  $M$  are

$$g_{ij} = \partial_{x_i} X \cdot \partial_{x_j} X = X_i \cdot X_j$$

so that the surface gradient of a function  $f$  defined on  $M$  can be expressed as

$$(\nabla_M f) \circ X = g^{ij} X_i F_j,$$

where  $F = f \circ X$  is the pullback of  $f$  through the parametrization  $X$ , and  $g^{ik} g_{kj} = \delta_j^i$ .

The Laplace-Beltrami operator on  $M$  is then

$$(\Delta_M f) \circ X = (\nabla_M \cdot \nabla_M f) \circ X = \frac{1}{\sqrt{\det g}} \partial_j (\sqrt{\det g} g^{ij} F_i).$$

## Calculating the first variation (2)

Let  $Y := \Delta u = 2HN$  be the mean curvature vector of  $M \subset \mathbb{R}^3$ . Then, the p-Willmore functional (modulo a factor of  $2^p$ ) can be expressed as

$$\mathcal{W}^p(M) = \int_M (Y \cdot N)^p.$$

It is then relatively straightforward to compute the p-Willmore Euler-Lagrange equation

$$\frac{p}{2} \Delta_M (Y \cdot N)^{p-1} - p |\nabla_M N|^2 (Y \cdot N)^{p-1} + \frac{1}{2} (Y \cdot N)^{p+1} = 0,$$

for a normal variation of  $\mathcal{W}^p$ .

Challenges:

- Express this 4<sup>th</sup> order PDE weakly.
- Include the possibility of tangential motion.
- Suppress derivatives of the vector  $N$ .

Possible with some clever rearrangement and a splitting technique applied by G. Dziuk in [4].

# The p-Willmore flow problem

## Problem: Closed p-Willmore flow with volume and area constraint

Let  $p \geq 2$ ,  $Y = 2HN$ , and  $W := (Y \cdot N)^{p-2}Y$ . Determine a family  $M(t)$  of closed surfaces with identity maps  $u(X, t)$  such that  $M(0)$  has initial volume  $V_0$ , initial surface area  $A_0$ , and the equation

$$\dot{u} = \delta(\mathcal{W}^p + \lambda\mathcal{V} + \mu\mathcal{A}),$$

is satisfied for all  $t \in (0, T]$  and for some piecewise-constant functions  $\lambda, \mu$ .

Equivalently, find functions  $u, Y, W, \lambda, \mu$  on  $M(t)$  such that the equations

$$\begin{aligned} \int_M \dot{u} \cdot \varphi + \lambda(\varphi \cdot N) + \mu \nabla_M u : \nabla_M \varphi + ((1-p)(Y \cdot N)^p - p \nabla_M \cdot W) \nabla_M \cdot \varphi \\ + p D(\varphi) \nabla_M u : \nabla_M W - p \nabla_M \varphi : \nabla_M W = 0, \end{aligned}$$

$$\int_M Y \cdot \psi + \nabla_M u : \nabla_M \psi = 0,$$

$$\int_M W \cdot \xi - (Y \cdot N)^{p-2} Y \cdot \xi = 0,$$

$$\int_M 1 = A_0,$$

$$\int_M u \cdot N = V_0,$$

are satisfied for all  $t \in (0, T]$  and all  $\varphi, \psi, \xi \in H_0^1(M(t))$ .

# How do we implement this? Algorithm:

Let  $\tau > 0$  be a fixed step-size and  $u^k := u(\cdot, k\tau)$ . The p-Willmore flow algorithm proceeds as follows:

- 1 Given the initial surface position  $u_h^0$ , generate the initial curvature data  $Y_h^0, W_h^0$  by solving

$$\begin{aligned}\int_{M_h^0} Y_h^0 \cdot \psi_h + \nabla_{M_h^0} u_h^0 : \nabla_{M_h^0} \psi_h &= 0, \\ \int_{M_h^0} W_h^0 \cdot \xi_h - (Y_h^0 \cdot N_h^0)^{p-2} Y_h^0 \cdot \xi_h &= 0.\end{aligned}$$

# Algorithm: p-Willmore flow loop

- ② For integer  $0 \leq k \leq T/\tau$ , flow the surface according to the following procedure:
  - ① Solve the (discretized) weak form equations: obtain the positions  $\tilde{u}_h^{k+1}$ , curvatures  $\tilde{Y}_h^{k+1}$  and  $\tilde{W}_h^{k+1}$ , and Lagrange multipliers  $\lambda_h^{k+1}$  and  $\mu_h^{k+1}$ .
  - ② Minimize conformal distortion of the surface mesh  $\tilde{u}_h^{k+1}$ , yielding new positions  $u_h^{k+1}$ .
  - ③ Compute the updated curvature information  $Y_h^{k+1}$  and  $W_h^{k+1}$  from  $u_h^{k+1}$ .
- ③ Repeat step 2 until the desired time  $T$ .



## Conformal correction step 2.2: idea

To correct mesh sliding at each time step, the goal is to enforce the “Cauchy-Riemann equations” on the tangent bundle  $TM$ .

Let  $X : V \rightarrow \operatorname{Im} \mathbb{H}$  be an immersion of  $M$ , and  $J$  be a complex structure (rotation operator  $J^2 = -\operatorname{Id}_{TV}$ ) on  $TV$ . Then, if  $*\alpha = \alpha \circ J$  is the usual Hodge star on forms,

**Thm:** Kamberov, Pedit, Pinkall [5]

$X$  is conformal iff there is a Gauss map  $N : M \rightarrow \operatorname{Im} \mathbb{H}$  such that  $*dX = N dX$ .

Note that,

- $N \perp dX(v)$  for all tangent vectors  $v \in TV$ .
- $v, w \in \operatorname{Im} \mathbb{H} \longrightarrow vw = -v \cdot w + v \times w$ .

**Conclusion:** conformality may be enforced by requiring  $*dX(v) = N \times dX(v)$  on a basis for  $TV$ !

## Conformal correction step 2.2: implementation

Choose  $x^1, x^2$  as coordinates on  $V$ , then:

- $\partial_1 := \partial_{x^1}$  and  $\partial_2 := \partial_{x^2}$  are a basis for  $TV$ .
- $dX(\partial_1) := X_1$  and  $dX(\partial_2) := X_2$  are a basis for  $TM$ .
- $J\partial_1 = \partial_2$ ,  $J\partial_2 = -\partial_1$ .
- $\nabla_{dX(v)}u = \nabla_v X$  on  $M$ .

Instead of enforcing conformality explicitly, we minimize an energy functional. First, define

$$\mathcal{CD}_v(u) = \frac{1}{2} \int_M |\nabla_{dX(Jv)}u - N \times \nabla_{dX(v)}u|^2 = \frac{1}{2} \int_M |\nabla_{Jv}X - N \times \nabla_v X|^2.$$

Standard minimization techniques lead to the necessary condition

$$\delta \mathcal{CD} = \int_M (\nabla_{dX(Jv)}u - N \times \nabla_{dX(v)}u) \cdot (\nabla_{dX(Jv)}\varphi - N \times \nabla_{dX(v)}\varphi) = 0.$$

## Conformal correction step 2.2: implementation (2)

So, choosing the basis  $\{X_1, X_2\}$  for  $TM$ , we enforce

$$\begin{aligned} & \int_M (\nabla_{X_2} u - N \times \nabla_{X_1} u) \cdot (\nabla_{X_2} \varphi - N \times \nabla_{X_1} \varphi) \\ & + \int_M (\nabla_{X_1} u + N \times \nabla_{X_2} u) \cdot (\nabla_{X_1} \varphi + N \times \nabla_{X_2} \varphi) = 0. \end{aligned}$$

**Important:** To ensure this “reparametrization” does not undo the Willmore flow, we use a Lagrange multiplier  $\rho$  to move only on  $TM$ .

Specifically, if  $\nabla_{M_{h,i}} = \nabla_{M_{h,X_i}}$ , we solve for  $u_h^{k+1}, \rho_h^{k+1}$  satisfying

$$\begin{aligned} & \int_{M_h^k} \rho_h^{k+1} (\varphi_h \cdot N_h^k) + (\nabla_{M_{h,2}^k} u_h^{k+1} - N_h^k \times \nabla_{M_{h,1}^k} u_h^{k+1}) \cdot (\nabla_{M_{h,2}^k} \varphi_h - N_h^k \times \nabla_{M_{h,1}^k} \varphi_h) \\ & + (\nabla_{M_{h,1}^k} u_h^{k+1} + N_h^k \times \nabla_{M_{h,2}^k} u_h^{k+1}) \cdot (\nabla_{M_{h,1}^k} \varphi_h + N_h^k \times \nabla_{M_{h,2}^k} \varphi_h) = 0, \\ & \int_{M_h^k} (u_h^{k+1} - \tilde{u}_h^{k+1}) \cdot N_h^k = 0. \end{aligned}$$

# Conformal correction step 2.2: notes

This conformal correction is important because:

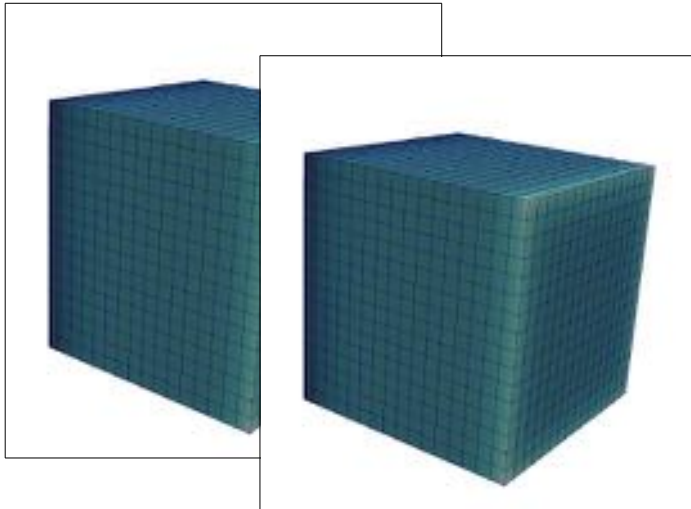
- Dramatically improves mesh quality during the p-Willmore flow.
- Keeps simulation from breaking due to mesh degeneration.
- Mitigates the artificial barrier to flow continuation caused by a bad mesh.



**Remark:** This procedure can also be extended to triangular meshes with some care.

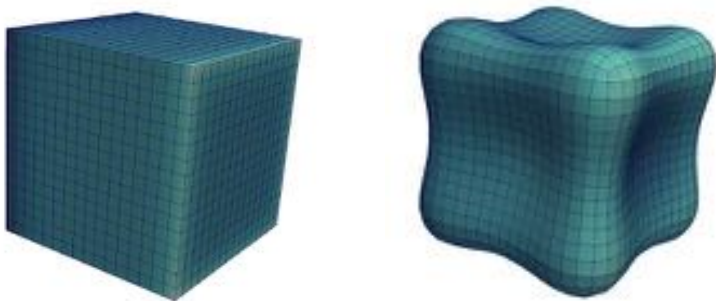
# Results: constrained vs unconstrained Willmore

Willmore evolution of a cube with volume constraint (left) and unconstrained (right).



## Results: Non-spherical genus 0 minimizer

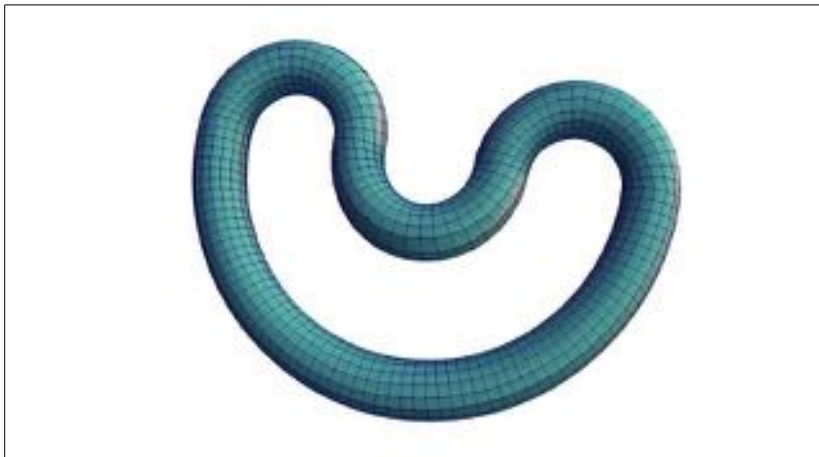
The Willmore flow of a cube. By constraining both surface area and enclosed volume, the cube can no longer flow to a sphere, creating a different minimizing surface.



Notice that the surface fairing behavior is present still in this case, and the mesh remains nearly conformal throughout.

## Results: Horseshoe

It is not necessary to restrict to genus 0 surfaces. Here is the Willmore flow of a horseshoe surface constrained by volume and surface area.  
(Click for external viewer)








# Results: Knot

The Willmore evolution of a trefoil knot constrained by both surface area and enclosed volume. (Click for external viewer)





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